

GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR 2020

STOCK CORPORATION

GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE **CORPORATE SECRETARY** OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: AA GUARANTY ASSURANCE CO., INC.		DATE REGISTERED: 5/8/2009
BUSINESS/TRADE NAME: AA GUARANTY ASSURANCE CO., INC.		FISCAL YEAR END: DECEMBER 31
SEC REGISTRATION NUMBER: CS200906196		CORPORATE TAX IDENTIFICATION NUMBER (TIN): 000-881-312-000
DATE OF ANNUAL MEETING PER BY-LAWS: SECOND TUESDAY OF JUNE		WEBSITE/URL ADDRESS: aaga.ph
ACTUAL DATE OF ANNUAL MEETING: 6/30/2020		E-MAIL ADDRESS: aa.guarantyassurance@gmail.com
COMPLETE PRINCIPAL OFFICE ADDRESS: 7th FLOOR UNIONBANK CENTRE, 208 DASMARIÑAS ST., BINONDO, MANILA		FAX NUMBER: 8241-6172
COMPLETE BUSINESS ADDRESS: 7th FLOOR UNIONBANK CENTRE, 208 DASMARIÑAS ST., BINONDO, MANILA		TELEPHONE NUMBER(S): 8712-1167; 8712-1170
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: R.R. TAN & ASSOCIATES, CPAs/SIGNING PARTNER - CHESTER NIMITZ SALVADOR		SEC ACCREDITATION NUMBER (if applicable): 0220-FR-2
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: NON-LIFE INSURANCE		INDUSTRY CLASSIFICATION: 65120
		GEOGRAPHICAL CODE: 133902006

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
RELIANCE SURETY & INSURANCE CO., INC.	7015	208 DASMARIÑAS ST., BINONDO, MANILA
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS

NOTE: USE ADDITIONAL SHEET IF NECESSARY



GENERAL INFORMATION SHEET

STOCK CORPORATION

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Corporate Name: AA GUARANTY ASSURANCE CO., INC.

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365) Yes No

Please check the appropriate box:

<p>1.</p> <p><input type="checkbox"/> a. Banks</p> <p><input type="checkbox"/> b. Offshore Banking Units</p> <p><input type="checkbox"/> c. Quasi-Banks</p> <p><input type="checkbox"/> d. Trust Entities</p> <p><input type="checkbox"/> e. Non-Stock Savings and Loan Associations</p> <p><input type="checkbox"/> f. Pawnshops</p> <p><input type="checkbox"/> g. Foreign Exchange Dealers</p> <p><input type="checkbox"/> h. Money Changers</p> <p><input type="checkbox"/> i. Remittance Agents</p> <p><input type="checkbox"/> j. Electronic Money Issuers</p> <p><input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</p>	<p>4. <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals</p>
<p>2.</p> <p><input checked="" type="checkbox"/> a. Insurance Companies</p> <p><input type="checkbox"/> b. Insurance Agents</p> <p><input type="checkbox"/> c. Insurance Brokers</p> <p><input type="checkbox"/> d. Professional Reinsurers</p> <p><input type="checkbox"/> e. Reinsurance Brokers</p> <p><input type="checkbox"/> f. Holding Companies</p> <p><input type="checkbox"/> g. Holding Company Systems</p> <p><input type="checkbox"/> h. Pre-need Companies</p> <p><input type="checkbox"/> i. Mutual Benefit Association</p> <p><input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)</p>	<p>5. <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p>
<p>3.</p> <p><input type="checkbox"/> a. Securities Dealers</p> <p><input type="checkbox"/> b. Securities Brokers</p> <p><input type="checkbox"/> c. Securities Salesman</p> <p><input type="checkbox"/> d. Investment Houses</p> <p><input type="checkbox"/> e. Investment Agents and Consultants</p> <p><input type="checkbox"/> f. Trading Advisors</p> <p><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</p> <p><input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</p> <p><input type="checkbox"/> i. Close-end Investment Companies</p> <p><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</p> <p><input type="checkbox"/> k. Transfer Companies and other similar entities</p> <p><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</p> <p><input type="checkbox"/> m. Entities administering of otherwise dealing in valuable objects</p> <p><input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</p>	<p>6. Company service providers which, as a business, provide any of the following services to third parties:</p> <p><input type="checkbox"/> a. acting as a formation agent of juridical persons</p> <p><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</p> <p><input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</p> <p><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</p>
<p>B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?</p>	<p>7. Persons who provide any of the following services:</p> <p><input type="checkbox"/> a. managing of client money, securities or other assets</p> <p><input type="checkbox"/> b. management of bank, savings or securities accounts</p> <p><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</p> <p><input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</p> <p>8. <input type="checkbox"/> None of the above</p> <p>Describe nature of business:</p>

GENERAL INFORMATION SHEET
STOCK CORPORATION

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CORPORATE NAME: AA GUARANTY ASSURANCE CO., INC.

CAPITAL STRUCTURE

AUTHORIZED CAPITAL STOCK

	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)
	COMMON	1,200,000,000	1.00	1,200,000,000.00
TOTAL		1,200,000,000	TOTAL P	1,200,000,000.00

SUBSCRIBED CAPITAL

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	10	COMMON	512,041,200		1.00	512,041,200.00	100.00
TOTAL		512,041,200	TOTAL	TOTAL P	512,041,200.00	100.00	

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
Percentage of Foreign Equity :		TOTAL	TOTAL	TOTAL P	TOTAL SUBSCRIBED P	512,041,200.00	

PAID-UP CAPITAL

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	10	COMMON	512,041,200	1.00	512,041,200.00	100.00
TOTAL		512,041,200	TOTAL P	512,041,200.00		
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
0.00 %		TOTAL	TOTAL P	TOTAL PAID-UP P	512,041,200.00	

NOTE: USE ADDITIONAL SHEET IF NECESSARY

* Common, Preferred or other classification

** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME: AA GUARANTY ASSURANCE CO., INC.								
DIRECTORS / OFFICERS								
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. ANDREW DEE CO 69 SANSO ST., QUEZON CITY	FILIPINO	N	C	M	Y	CHAIRMAN	N/C; EXCOM/M	160-237-491
2. REBECCA B. DELA CRUZ 49 KAMAGONG ST., NORTH FAIRVIEW II, FILINVEST II, QUEZON CITY	FILIPINO	N	M	F	Y	PRESIDENT	EXCOM/C A/M; N/M; CG/M	107-974-308
3. JOHN JASON N. UY JR. 117 9TH STREET, QUEZON CITY	FILIPINO	N	I	M	Y	N/A	A/M	173-130-130
4. LORENZO N. DEE 947 PARIS ST., MALATE, MANILA	FILIPINO	N	M	M	Y	N/A	A/M	199-232-667
5. DONALD PATRICK L. LIM 1322 ROXAS BLVD., ERMITA, MANILA	FILIPINO	N	I	M	Y	N/A	A/C; CG/M	203-471-100
6. RAYMOND MARIA C. QUA 403 BAYABONG DR., AYALA ALABANG VILLAGE, MUNTINLUPA CITY	FILIPINO	N	I	M	Y	N/A	CG/C; N/M	123-119-448
7. LAWRENCE T. CHUNG 168 ORTIGAS AVE., COR. MERALCO AVE., ORTIGAS CENTER, PASIG CITY	FILIPINO	N	M	M	Y	N/A	N/M	175-249-301
8. SOLEDAD TE GUE 619 ASUNCION ST., BINONDO, MANILA	FILIPINO	N		F	N	VICE PRESIDENT	N/A	121-642-795
9. ALVIN M. DELA CRUZ B4L4 WELLINGTON ST., ENCLAVE SUBD., BF INTERNATIONAL, LAS PIÑAS	FILIPINO	N		M	N	VP - OPERATIONS	EXCOM/M	907-395-743
10. ANGELITITA A. AYSON CAMELLA HOMES SV2, MOLINO, BACOR, CAVITE	FILIPINO	N		F	N	TREASURER	EXCOM/M	121-642-498
11. NANETTE QUIN-SIA 288 ESCOLTA ST., BINONDO, MANILA	FILIPINO	N		F	N	CORPORATE SECRETARY	EXCOM/M	121-643-054
12. N/A								
13. N/A								
14. N/A								
15. N/A								

INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

GENERAL INFORMATION SHEET
STOCK CORPORATION

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CORPORATE NAME: AA GUARANTY ASSURANCE CO., INC.	
TOTAL NUMBER OF STOCKHOLDERS: 10	NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: 10
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: 726,389,027.00	

STOCKHOLDER'S INFORMATION

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
1. RELIANCE SURETY & INSURANCE CO., INC. FILIPINO 208 DASMARINAS ST., BINONDO, MANILA	COMMON	468,499,700	468,499,700.00	91.50%	468,499,700.00	000-810-550
	TOTAL	468,499,700	468,499,700.00			
2. ANDREW DEB CO FILIPINO 69 SANSO ST., QUEZON CITY	COMMON	43,540,700	43,540,700.00	8.50%	43,540,700.00	160-237-491
	TOTAL	43,540,700	43,540,700.00			
3. LORENZO N. DEE FILIPINO 947 PARIS ST., MALATE, MANILA	COMMON	100	100.00	0.00002%	100.00	199-232-667
	TOTAL	100	100.00			
4. CONSTANTINE N. ANG FILIPINO 669 WISCONSIN ST., EAST GREENHILLS, MANDALUYONG CITY	COMMON	100	100.00	0.00002%	100.00	107-747-146
	TOTAL	100	100.00			
5. LAWRENCE T. CHUNG FILIPINO 168 ORTIGAS AVE., COR. MERALCO AVE., ORTIGAS CENTER, PASIG CITY	COMMON	100	100.00	0.00002%	100.00	175-249-301
	TOTAL	100	100.00			
6. DONALD PATRICK L. LIM FILIPINO 1322 ROXAS BLVD., ERMITA, MANILA	COMMON	100	100.00	0.00002%	100.00	203-471-100
	TOTAL	100	100.00			
7. RAYMOND MARIA QUA FILIPINO 403 BAYABONG DR., AYALA ALABANG VILLAGE, MUNTINLUPA CITY	COMMON	100	100.00	0.00002%	100.00	123-119-448
	TOTAL	100	100.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL						
TOTAL AMOUNT OF PAID-UP CAPITAL						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.



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STOCK CORPORATION

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CORPORATE NAME:		AA GUARANTY ASSURANCE CO., INC.				
TOTAL NUMBER OF STOCKHOLDERS:		10		NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: 10		
TOTAL ASSETS BASED ON LATEST AUDITED FS:		726,389,027.00				
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
8. JOHN JASON N. UY JR. FILIPINO 117 9TH STREET, QUEZON CITY	COMMON	100	100.00	0.00002%	100.00	173-130-130
	TOTAL	100	100.00			
9. KENDRICK COLIN P. YU FILIPINO UNIT 33 V. CRUZ ST., BRGY. STA. LUCIA	COMMON	100	100.00	0.00002%	100.00	193-339-140
	TOTAL	100	100.00			
10. REBECCA B. DELA CRUZ FILIPINO 49 KAMAGONG ST., NORTH FAIRVIEW II, FILINVEST II, QUEZON CITY	COMMON	100	100.00	0.00002%	100.00	107-974-308
	TOTAL	100	100.00			
11. N/A	COMMON					
	TOTAL					
12. N/A	COMMON					
	TOTAL					
13. N/A	COMMON					
	TOTAL					
14. N/A	COMMON					
	TOTAL					
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				100.0000%	512,041,200.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee Included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						



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STOCK CORPORATION

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CORPORATE NAME: AA GUARANTY ASSURANCE CO., INC.

1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (PhP)	DATE OF BOARD RESOLUTION
1.1 STOCKS	0.00	
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	0.00	
1.3 LOANS/ CREDITS/ ADVANCES	0.00	
1.4 GOVERNMENT TREASURY BILLS	135,666,913.00	
1.5 OTHERS	0.00	

2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION

3. TREASURY SHARES	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED

4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR P195,280,353.00

5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:		
TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED
5.1 CASH	0.00	
5.2 STOCK	0.00	
5.3 PROPERTY	0.00	
TOTAL	P	

6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:		
DATE	NO. OF SHARES	AMOUNT
	21,541,200	21,541,200.00

SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	BSP	IC
TYPE OF LICENSE/REGN.			2019/66-R
DATE ISSUED:			JANUARY 23, 2019
DATE STARTED OPERATIONS:			05/08/09

TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
130,000.00	4	16	20

NOTE: USE ADDITIONAL SHEET IF NECESSARY



I, NANETTE QUIN-SIA, Corporate Secretary of AA GUARANTY ASSURANCE CO., INC. declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).

Done this JUL 10 2020 day of _____, 20 ____ in CITY OF MANILA.



NANETTE QUIN-SIA

(Signature over printed name)

SUBSCRIBED AND SWORN TO before me in CITY OF MANILA on JUL 10 2020 by affiant who personally appeared before me and exhibited to me his/her competent evidence of identity consisting of SSS ID 03-9274984-6 issued at MANILA.

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SERIES OF 2020

ATTY. JOHN EDWARD T. ANG
Notary Public for the City of Manila
Notarial Commission No. 1028-033 Valid until 12/31/2021 Manila
277 Highland Plaza Bldg., Adriatic St., Ermita, Manila
ICP No. 1061336 Issued on Jan. 6, 2020 Until Dec. 31, 2020 Pasig City
PTR No. 9115563 Issued on Dec. 27, 2019 Until Dec. 31, 2020 Manila
ROLL No. 68731 Issued on May 29, 2017
MCLE No. VI-0017186 Issued on Jan. 24, 2019 Valid until April 14, 2021

BENEFICIAL OWNERSHIP DECLARATION

SEC REGISTRATION NUMBER: CS200906196
 CORPORATE NAME: AA GUARANTY ASSURANCE CO., INC.

- Instructions:**
- Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
 - Fill in the required information on the beneficial owner in the fields provided for.
 - In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
 - If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
 - Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

- | <u>Category</u> | <u>Description</u> |
|-----------------|---|
| A | Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation. |
| B | Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity. |
| C | Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation. |
| D | Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation. |
| E | Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes. |
| F | Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s). |
| G | Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons. |
| H | Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories. |
| I | Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion. |

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP ¹ / % OF VOTING RIGHTS ²	TYPE OF BENEFICIAL OWNER ³ Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
Co, Andrew Dee	69 Sanso St., Quezon City	Filipino	Nov. 8, 1972	160-237-491	79.44%	I	A and D
-nothing follows-							

Note: This page is not for uploading on the SEC iView.

¹ For Stock Corporations.

² For Non-Stock Corporations.

³ For Stock Corporations.